AMENDMENT TRANSMITTAL LETTER (Large Entity) Docket No. Applicant(s): Chee et al. 3028.1 Serial No. Filing Date Examiner Group Art Unit 08/856,376 05/14/1997 A. Marschel 1655 Invention: Polymorphisms in Human Mitochondrial DNA APR 1 7 200 TO THE ASSISTANT COMMISSIONER Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below. **CLAIMS AS AMENDED CLAIMS REMAINING HIGHEST# NUMBER EXTRA** ADDITIONAL RATE PREV. PAID FOR AFTER AMENDMENT CLAIMS PRESENT **FEE TOTAL CLAIMS** 10 20 0|x\$22.00 \$0.00 INDEP. CLAIMS 4 0 x \$82.00 \$0.00 \$0.00 Multiple Dependent Claims (check if applicable) \$0.00 TOTAL ADDITIONAL FEE FOR THIS AMENDMENT No additional fee is required for amendment. Please charge Deposit Account No. in the amount of A duplicate copy of this sheet is enclosed. A check in the amount of to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 01-0431 A duplicate copy of this sheet is enclosed. Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Dated: 4/12/2000 Ellen Gonzales Reg No. 44,128 I certify that this document and fee is being deposited Affymetrix, Inc. on 04/12/2000 with the U.S. Postal Service as 3380 Central Expressway first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. Santa Clara, CA 20231. 95051

CC:

Signature of Person Mailing Correspondence

Ilen Gonzales

Typed or Printed Name of Person Mailing Correspondence

I hereby certify that this combindence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231

On 4/12/00

PATENT Attorney Docket No.: 3028.1

PLUNKA Whalf

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

AFFYMETRIX, INC.

Examiner:

A. Marschel

Chee et al.

Art Unit:

1655

Application No.: 08/856,376

RESPONSE

Filed: May 14, 1997

For: POLYMORPHISMS IN HUMAN

MITOCHONDRIAL DNA

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Office Action mailed October 12, 1999, applicant's hereby submit the following response.

Remarks

Claims 1-10 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Parker et al. (P/N 5,760,205). Applicants respectfully traverse this rejection. Parker et al. is directed towards several specific polymorphisms in the COX genes of mitochondrial DNA which are associated with Alzheimer's disease. Applicant's submit that none of the mutations disclosed in Parker et al. are claimed in the current application. In all, Parker et al disclose a total of 15 specific polymorphisms and disclose the codon location of two others. None of these specific mutations are claimed in the instant invention. Applicants submit table II which correlates the polymorphisms disclosed in Parker et al with the base numbering system used in the currently